On the Relationship of Model Transformations Based on Triple and Plain Graph Grammars *

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ABSTRACT
Triple graph grammars have been applied and implemented as a formal basis for model transformations in a variety of application areas. They convince by special abilities in automatic derivation of forward, backward and several other transformations out of just one specified set of rules for the integrated model defined by a triple of graphs. While many case studies and all implementations, which state that they are using triple graph grammars, do not use triples of graphs, this paper presents the justification for many of them. It shows a one to one correspondence between triple graph grammars and suitable plain graph grammars, thus results and benefits of the triple case can be transferred to the plain case.

Main results show the relationship between both graph transformation approaches, syntactical correctness of model transformations based on triple graph grammars and a sound and complete condition for functional behaviour. Theoretical results are elaborated on an intuitive case study for a model transformation from class diagrams to database models.

1. INTRODUCTION
Model transformations are the heart of the model-driven software development approach. Ideally, a model transformation approach supports a visual specification of the transformation with an underlying formal foundation. Additionally, the approach should allow synchronizing different models and keeping them consistent.

Triple Graph Grammars (TGGs) [16] have been defined to consistently co-develop two related structures modeled by graphs. These are connected using a correspondence graph together with its embeddings into the two graphs. TGG rules (triples of non-deleting graph rules) generate the language of triple graphs, i.e. they describe the parallel extension of all three graphs. Based on TGGs, triple rules can be decomposed e.g. into source and forward rules.

In recent years, TGGs have shown to be an adequate basis to specify visual, formal and bidirectional model transformations between different domain-specific modeling languages [10, 6, 8]. Under certain conditions (source consistency, see [6]), important properties of model transformations based on forward rules can be shown, e.g. the bijective correspondence of composition and decomposition of triple graph transformation sequences and information preservation of a triple graph transformation sequence[6]. Moreover, the relation of model transformation and model integration based on integration rules derived from triple rules has been shown in [8].

Sample applications for model transformations using TGGs are a conversion between the two different computer graphics file formats [2], and an approach for view consistency management in the context of multi-view visual languages [4], where views are related to an integrated model by correspondence graphs. Tools developed for typed attributed graph transformation have been used frequently to implement model transformations based on TGGs (such as Fujaba [18], AToM³[5], and AGG [1]). Therefore, the structure of (typed) triple graphs have been represented as plain graphs (ordinary typed attributed graphs): the three graphs are modeled as a single integrated graph, where the embedding of the correspondence graph is represented by additional edges. Analogously, a single integrated type graph models the original triple type graph.

Up to now, it has not been shown formally that the results which hold for TGGs (e.g. the canonical decomposition of triple graph transformation sequences into source and forward sequences, and their composition [6]) still hold for their representation as plain graph grammars.

In this paper, we define a flattening functor mapping triple
graphs to plain graphs. We extend this functor to the translation of triple graph grammars and transformations. Based on the properties of this functor, we can show that important results for triple graph grammars and transformations can be transferred to plain graph grammars and transformations. In particular, we show that TGG properties based on source consistency of triple graph transformations can now be analyzed using the corresponding plain graph transformations. Our first main theorem states that the translation leads to a bijective correspondence between a model transformation based on forward rules in TGGs and the corresponding translated plain model transformation. Hence, results proven for TGGs like composition and decomposition of triple graph transformation sequences, or information preservation under the condition of source consistency, can be transferred to the corresponding plain model transformation sequences. The second main theorem in this paper is concerned with correctness properties of the translated model transformation sequences in plain graphs, such as syntactical correctness and confluence (leading to functional behavior of model transformations).

Our main theorems are illustrated by a model transformation $CD2RDBM$ from UML class diagrams [14] to relational database models, a quasi-standard example which is elaborated in [15, 3].

The paper is structured as follows: In Section 2 we start with a review of plain graphs and graph transformation according to the double-pushout (DPO) approach [9] on the one hand, and triple graphs and triple graph grammars according to [16] on the other hand. Section 3 reviews the derivation of source, target, forward and backward rules from TGG rules and states the source consistency condition for model transformations based on forward rules, which ensures that all parts of a source model are translated completely and not twice. The functor translating triple graph transformations to plain graph transformations is defined in Section 4. In Section 5 we relate properties of model transformations based on forward rules from TGGs to their corresponding model transformations in plain graphs. In particular, we show that our translation functor preserves syntactical correctness and functional behavior of model transformations. We conclude the paper in Section 6, discussing open problems and directions for future research.

2. REVIEW OF DPO- AND TRIPLE GRAPH GRAMMARS

Graphs and graph transformations are used in a variety of types for specifying, analyzing and optimizing systems. Here, we focus on graphs with explicit source and target functions for edges. We recall first the main definitions of plain graphs and their transformation, which are necessary for further constructions. For details and extensions such as attribution or type graphs with inheritance, we refer to [9]. Note that these extensions can be applied directly and the results will not be affected.

Definition 1. Graphs and Graph Morphisms: A graph $G = (G_V, G_E, sG, tG)$ consists of a set $G_V$ of nodes, a set $G_E$ of edges, and two functions $sG, tG : G_E \rightarrow G_V$, the source and the target function.

Given graphs $G, H$ a graph morphism $f : G \rightarrow H, f = (f_V, f_E)$ consists of two functions $f_V : G_V \rightarrow H_V$ and $f_E : G_E \rightarrow H_E$ that preserve the source and the target function, i.e. $f_V \circ sG = sH \circ f_E$ and $f_V \circ tG = tH \circ f_E$.

Graphs and graph morphisms define the category Graphs. A graph morphism $f$ is injective if both functions $f_V, f_E$ are injective.

Definition 2. Typing: Given a distinguished graph $TG$, called type graph, a typed graph $G = (G, type_G)$ consists of a graph $G = (V, E, s, t)$ together with a type morphism $type_G : G \rightarrow TG$ from $G$ to its type graph $TG$. A type graph is a distinguished graph $TG$. A tuple $(G, type_G)$ of a graph $G$ together with a graph morphism $type_G : G \rightarrow TG$ is called a typed graph. Given typed graphs $G = (G, type_G)$ and $H = (H, type_H)$, a typed graph morphism $f$ is a graph morphism $f : G \rightarrow H$, such that $type_H \circ f = type_G$.

Example 1. Typing: The structure of class diagrams in the CD2RDBM example is given by the type graph in Fig. 1 containing the types “Class”, “Attribute”, “PrimitiveDataType” and “Association” and instances of these node types have to be linked according to the edge types between the node types as well as attributed according to node type attributes.

Graph transformation rules specify local operations. A graph grammar specifies a graph language as set of all those graphs that can be created by applying transformation rules starting with a given start graph.

Definition 3. Typed Graph Grammar: A typed graph rule $p = L \xleftarrow{l} K \xrightarrow{r} R$ consists of typed graphs $L, K,$ and $R$, called the left-hand side, gluing, and the right-hand side respectively, and two injective typed graph morphisms $l$ and $r$. A typed graph grammar $GG = (TG, S, R)$ consists of a type graph $TG$, a start graph $S$ and graph rules $R$. If a rule $p$ is applicable to a graph $G$ via a morphism $m : L \rightarrow G$, called match, the transformation $G \xrightarrow{p} H$ is defined by two pushouts (DPO diagram):

$$
\begin{array}{c}
L \\
\xleftarrow{l} K \\
\xrightarrow{r} R \\
\end{array}
\xleftarrow{m} G \\
\xrightarrow{m*} H
$$

The typed graph language $L$ of $GG$ is defined by $L = \{G \mid \exists$ typed graph transformation $S \xrightarrow{p} G\}$.

Example 2. Graph Grammar: One rule of a generating graph grammar for class diagrams is given in Fig. 2. It inserts an association between two existing classes. The rule

![Figure 1: Type graph for class diagrams](image1)

![Figure 2: Graph rule insertAssociation() in complete and compact notation](image2)
is presented both in complete form and compact form. The left part of Fig. 2 for complete notation contains the rule components $L$, $K$ and $R$ as distinct graphs and numbers indicating the morphisms $l : K \to L$, $r : K \to R$. The right part shows the compact form, where elements to be created are labeled with "{new}". If there are elements in a rule that shall be deleted, they are labeled with "{del}" in the compact form.

In the following definitions, the concepts of graph transformation are lifted to the case of triple graphs, an extension of plain graphs dividing elements into source, target and correspondence sections. Since triple graph transformations are considered to be used for model transformation they are restricted to non-deleting rules, which can still be seen as a special case of general DPO graph rules.

**Definition 4.** **Triple Graph and Triple Graph Morphism:** Three graphs $SG$, $CG$, and $TG$, called source, connection, and target graph, together with two graph morphisms $sG : CG \to SG$ and $tG : CG \to TG$ form a triple graph $G = (\triangledown \triangleleft CG \triangleleft TG)$. $G$ is called empty, if $SG$, $CG$, and $TG$ are empty graphs.

A triple graph morphism $m = (s, t, c) : G \to H$ between two triple graphs $G = (\triangledown \triangleleft CG \triangleleft TG)$ and $H = (\triangledown \triangleleft CH \triangleleft TH)$ consists of three graph morphisms $s : SG \to SH$, $c : CG \to CH$ and $t : TG \to TH$ such that $s \circ sG = sH \circ c$ and $t \circ tG = tH \circ c$. It is injective, if morphisms $s$, $t$, and $c$ are injective. Triple graphs and triple graph morphisms form the category $\text{TripleGraphs}$.

A triple graph grammar $TGG = (TG, S, TR)$ consists of a triple graph $TG$, a triple start graph $S$ and triple rules $TR$ typed over $TG$.

Given a triple rule $tr = (s, c, t) : L \to R$, a triple graph $G$ and a triple graph morphism $m = (sm, cm, tm) : L \to G$, called triple match $m$, a triple graph transformation step $(TGG, tr, m) : G \to H$ from $G$ to a triple graph $H$ is given by three objects $SH$, $CH$ and $TH$ in category $\text{Graph}$ with induced morphisms $sH : CH \to SH$ and $tH : CH \to TH$. Morphism $n = (sn, cn, tn)$ is called comatch.

![Figure 3: Triple graph for an integrated CD2RDBM model](image)

**Example 3.** **Triple graph**: The graph in Fig. 3 shows a triple graph containing a class diagram together with connecting reference nodes in the connection component visualized by circles pointing to the database model of the target language. References between source and target model denote translation correspondences and exist between classes and tables, associations and foreign keys as well as between attributes and columns. Inheritance information in class diagrams are flattened in corresponding database models.

**Definition 5.** **Triple Graph Grammar:**

A triple graph grammar $\Gamma = (\Gamma, \Theta, \Delta)$ consists of triple graphs $\Gamma$ and $\Delta$ and an injective triple graph morphism $\Theta$.

A triple graph grammar $TGG = (TG, S, TR)$ consists of a triple type graph $TG$, a triple start graph $S$ and triple rules $TR$ typed over $TG$.

Given a triple rule $tr = (s, c, t) : L \to R$, a triple graph $G$ and a triple graph morphism $m = (sm, cm, tm) : L \to G$, called triple match $m$, a triple graph transformation step $(TGG, tr, m) : G \to H$ from $G$ to a triple graph $H$ is given by three objects $SH$, $CH$ and $TH$ in category $\text{Graph}$ with induced morphisms $sH : CH \to SH$ and $tH : CH \to TH$. Morphism $n = (sn, cn, tn)$ is called comatch.

![Figure 4: Triple rules for CD2RDBM model transformation](image)

**Example 4.** **Triple rules**: Rules in Fig. 4 are part of a triple graph grammar that synchronously generates class diagrams and corresponding database models, where the first rule “Class2Table” may create a class and its corresponding table at any time. The second rule “Primary-Attribute2Column” inserts a primary attribute for a given class, thus creating a corresponding primary key column in the connected table of the database model. Finally, “Subclass2Table” adds a class which inherits from an existing one, thus it is connected to the same corresponding table as the parent class. The rules “Attribute2Column” and “Association2ForeignKey” given in [6] are not shown here.
Note that by source consistency the application of the forward rules\( G_0 \xrightarrow{t_0} G_n \) is not a proper encoding of triple graphs.

**Definition 7. Match and Source Consistency:** Let \( t_\mathcal{S} \) and \( t_\mathcal{T} \) be sequences of source rules \( t_\mathcal{T} \) and forward rules \( t_\mathcal{F} \), which are derived from the same triple rules \( t_\mathcal{T} \) for \( i = 1, \ldots, n \). Let further \( G_0 \xrightarrow{t_\mathcal{S}} G_n \xrightarrow{t_\mathcal{T}} G_n \) be a TGT-sequence with \( (m_i, n_i) \) being match and comatch of \( t_\mathcal{S} \) (respectively \( m_i, n_i \) for \( t_\mathcal{F} \) then match consistency of \( G_0 \xrightarrow{t_\mathcal{S}} G_n \xrightarrow{t_\mathcal{T}} G_n \) means that the S-component of the match \( m_i \) is uniquely determined by the comatch \( n_i \) (\( i = 1, \ldots, n \)).

A TGT-sequence \( G_0 \xrightarrow{t_\mathcal{S}} G_n \) is source consistent, if there is a match consistent sequence \( \emptyset \xrightarrow{t_\mathcal{S}} G_0 \xrightarrow{t_\mathcal{T}} G_n \). Note that by source consistency the application of the forward rules is controlled by the source sequence, which generates the given source model.

**Theorem 1. Canonical Decomposition and Composition Result**

1. **Decomposition:** For each TGT-sequence
   (1) \( G_0 \xrightarrow{t_0} G_n \) there is a canonical match consistent TGT-sequence
   (2) \( G_0 = G_0 \xrightarrow{t_0} G_0 \xrightarrow{t_\mathcal{S}} G_n \xrightarrow{t_\mathcal{T}} G_0 \xrightarrow{t_\mathcal{F}} G_n \) using corresponding source rules \( t_\mathcal{S} \) and forward rules \( t_\mathcal{F} \).

2. **Composition:** For each match consistent transformation sequence (2) there is a canonical transformation sequence (1).

3. **Bijective Correspondence:** Composition and Decomposition are inverse to each other.

The proof is given in [6].

**Definition 8. Model Transformation based on Forward Rules:** A model transformation sequence \( (G_0, G_1 \xrightarrow{t_\mathcal{S}} G_n, G_T) \) consists of a source graph \( G_0 \), a target graph \( G_T \), and a source consistent forward TGT-sequence \( G_1 \xrightarrow{t_\mathcal{S}} G_n \) with \( G_S = \text{proj}_S(G_1) \) and \( G_T = \text{proj}_T(G_n) \). A model transformation \( MT : VLS_0 \Rightarrow VLT_0 \) is defined by applying model transformation sequences \( (G_S, G_1 \xrightarrow{t_\mathcal{S}} G_n, G_T) \) with \( G_S \in VLS_0 \) and \( G_T \in VLT_0 \).

**Example 5. Model Transformation:** Using the forward rules of the triple rules in Example 4 we obtain a model transformation sequence \( (G_S, G_1 \xrightarrow{t_\mathcal{S}} G_n, G_T) \), where \( G \) is the triple graph in Fig. 3 and \( G_S = \text{proj}_S(G_1) \) is given by left and right parts of Fig. 3, respectively (see [6] for an explicit construction).

For each model transformation \( MT : VLS_0 \Rightarrow VLT_0 \) based on forward rules we can ensure that it starts at models in \( VLS \) only and also ends at models in \( VLT \), thus it corresponds directly to the generating sequence of original triple rules, which synchronously generates elements in all three triple components.

**Fact 1. Scope of Model Transformation:** For each model transformation sequence \( (G_S, G_1 \xrightarrow{t_\mathcal{S}} G_n, G_T) \) of \( MT : VLS_0 \Rightarrow VLT_0 \) with \( G_S \in VLS_0 \) and \( G_T \in VLT_0 \) we have that \( G_1 \) is typed over \( (TG_S \leftarrow \emptyset \rightarrow \emptyset) \) with \( \text{proj}_S(G_1) \in VLS \) and \( \text{proj}_T(G_1) \in VLT \), i.e. \( MT : VLS \Rightarrow VLT \).

**Proof.** Since \( G_1 \xrightarrow{t_\mathcal{S}} G_n \) is source consistent, we have \( \emptyset \xrightarrow{t_\mathcal{S}} G_1 \xrightarrow{t_\mathcal{F}} G_n \) match consistent and hence, by Theorem 1 above with \( G_0 = \emptyset \) we have \( \emptyset \xrightarrow{t_\mathcal{F}} G_n \). This implies \( G_n \in VLT \), \( \text{proj}_S(G_n) \in VLS \), \( \text{proj}_T(G_n) \in VLT \) by definition of \( VLS \), \( VLT \), and \( VLT \). Now we have \( G_S = \text{proj}_S(G_1) \) is typed over \( (TG_S \leftarrow \emptyset \rightarrow \emptyset) \) and \( G_1 \) implies that \( G_1 \) is typed over \( (TG_S \leftarrow \emptyset \rightarrow \emptyset) \).

Note that we can check whether a forward transformation sequence \( G_1 \xrightarrow{t_\mathcal{S}} G_n \) is source consistent (see [17]), thus we can check whether it defines a model transformation. If the check is successful, it constructs the corresponding source rule sequence \( \emptyset \xrightarrow{t_\mathcal{S}} G_1 \). Otherwise \( G_1 \xrightarrow{t_\mathcal{S}} G_n \) is not source consistent.

4. **TRANSLATION OF TRIPLE GRAPH GRAMMARS AND TRANSFORMATIONS**

Most case studies and all implementations for triple graph grammars, which we are aware of, use plain graphs as an encoding of triple graphs. But there may arise problems since there are graphs and graph transformations not corresponding to a triple graph transformation, whenever the correspondence component is linked with zero or multiple edges to one of the other component. The following definition of a flattening corresponds to the intuitive translation used in tools and we show that results of the theory can be transferred to the plain case, whenever the graphs and rules are a proper encoding of triple graphs.
Definition 9. Flattening Construction: Given a triple graph $G = (SG \sqsubseteq CG \sqsubseteq TG)$ the flattening $\mathcal{F}(G)$ of $G$ is a plain graph defined by the disjoint union $\mathcal{F}(G) = SG + CG + TG + \text{Links}(G) + \text{Link}_T(G)$ with links (additional edges) defined by

$\text{Links}_S(G) = \{(x,y) \mid x \in CG_V, y \in SG_V, sg(x) = y\}$,
$\text{Links}_L(G) = \{(x,y) \mid x \in CG_V, y \in TG_V, tg(x) = y\}$ with $s_{\mathcal{F}(G)}((x,y)) = x$ and $t_{\mathcal{F}(G)}((x,y)) = y$, $(x,y) \in \text{Links}_S \cup \text{Link}_L$.

Given a triple graph morphism $f = (f_S, f_C, f_T) : G \to G'$ the flattening $\mathcal{F}(f) : G(G) \to \mathcal{F}(G')$ is defined by $\mathcal{F}(f) = f_S + f_c + f_T + \text{Links}_L(f_S : \text{Links}(G) \to \text{Links}(G'))$, $\mathcal{F}(f_T) : \text{Link}_T(G) \to \text{Link}_T(G')$ defined by $f_{LS}((x,y)) = (f_c(x), f_S(y))$ and $f_{LT}((x,y)) = (fc(x), f_T(y))$.

Remark 2. The mapping of edges in $CG_E$ is disregarded, but in the following we assume $CG_E = \emptyset$ anyhow.

Example 6. Flattening Construction: Consider Fig. 3 showing the triple graph $G = (SG \sqsubseteq CG \sqsubseteq TG)$ for our integrated CD2RDBM model. The graph $\mathcal{F}(G)$, resulting from applying the flattening functor to $G$, consists of

- the subgraphs $SG,CG$ and $TG$,
- edges $\text{Links}_S(G)$ corresponding to the morphism $SG \sqsubseteq CG$, defined by $\text{Links}_L(G) = \{(2,1),(9,8),(15,14),(19,18),(24,23)\}$ (where the numbers refer to the numbered nodes in Fig. 3), with $s_{\mathcal{F}(G)}((2,1)) = 2, t_{\mathcal{F}(G)}((2,1)) = 1$ (analogously for all other edges in $\text{Links}_S(G)$),
- and edges $\text{Link}_T(G)$ corresponding to the morphism $CG \sqsubseteq TG$, defined by $\text{Link}_T(G) = \{(2,3),(9,10),(15,17),(19,17),(24,25)\}$.

The following Fact 2 ensures several important properties of the flattening construction, which are used later.

Fact 2. Properties of Flattening Construction:

1. The flattening construction defines a functor $\mathcal{F} : \text{TripleGraphs} \to \text{Graphs}$, which preserves pushouts.
2. Given a triple graph $TG = (STG \leftarrow CTG \to TTG)$ with $CTG_E = \emptyset$ and flattening $\mathcal{F}(TG)$. Then the typed flattening construction is the functor $\mathcal{F}_{TG} : \text{TripleGraphs}_{TG} \to \text{Graphs}_{\mathcal{F}(TG)}$ defined by $\mathcal{F}_{TG}(G,t) = (\mathcal{F}(G),\mathcal{F}(t))$ and $\mathcal{F}_{TG}(f) = \mathcal{F}(f)$. We sometimes write $\mathcal{F}_{TG} = \mathcal{F}$ for short.
3. The typed flattening $\mathcal{F}_{TG}$ is injective on objects and creates morphisms, i.e. for all $m' : \mathcal{F}_{TG}(L) \to \mathcal{F}_{TG}(G)$ in $\text{Graphs}_{\mathcal{F}(TG)}$ there is a unique $m : L \to G$ with $\mathcal{F}_{TG}(m) = m'$. Especially we have $\mathcal{F}_{TG}(A) \cong \mathcal{F}_{TG}(B)$ iff $A \cong B$, and $\mathcal{F}_{TG}$ is injective on morphisms.
4. $\mathcal{F}_{TG}$ preserves and reflects pushouts, i.e. (1) pushout in $\text{Graphs}_{\mathcal{F}(TG)}$ iff (2) is pushout in $\text{Graphs}_{\mathcal{F}(TG)}$, and $\mathcal{F}_{TG}$ creates pushouts,

$$\begin{align*}
L &\xrightarrow{m} R \\
G &\xrightarrow{f} G'
\end{align*}$$

$$\begin{align*}
\mathcal{F}_{TG}(L) &\xrightarrow{\mathcal{F}_{TG}(m)} \mathcal{F}_{TG}(G) & \mathcal{F}_{TG}(L) &\xrightarrow{\mathcal{F}_{TG}(m)} \mathcal{F}_{TG}(G) \\
\mathcal{F}_{TG}(R) &\xrightarrow{\mathcal{F}_{TG}(f)} \mathcal{F}_{TG}(G') & \mathcal{F}_{TG}(R) &\xrightarrow{\mathcal{F}_{TG}(f)} \mathcal{F}_{TG}(G')
\end{align*}$$
5. RELATIONSHIP OF MODEL TRANSFORMATION CONCEPTS

After defining a translation from model transformations using triple graphs to those using plain graphs and showing properties of the translation, we now elaborate properties of model transformations from a more general point of view and again the results of previous sections can be applied to this more abstract setting.

Typed model transformations usually work on integrated models in the translation phase and finally restrict the model to elements of the target language. Thus, the integrated type graph \( TG_S \rightarrow TG_{ST} \leftarrow TG_T \) consists of all elements of the source and target language and possibly further correspondence structure elements. By means of the source and target language and possibly further type graph (\( TG \)) this more abstract setting.

and again the results of previous sections can be applied to model transformations from a more general point of view using triple graphs to those using plain graphs and showing of model transformations 5. RELATIONSHIP OF MODEL TRANSFORMATION CONCEPTS

Most examples of model transformations based on plain graphs can be done in a similar way for backward rules.

Example 7. Model Transformation based on Forward Rules: Given three rules \( TR \) with source rules \( TR_S \) and forward rules \( TR_F \) defining the triple graph language \( VL_S \) and \( VL_T \). Let \( TR \) be typed over \( TG = (TG_S \leftarrow TG_C \rightarrow TG_T) \) with \( t_S : (TG_S \leftarrow \emptyset \rightarrow \emptyset) \rightarrow TG \) and \( t_T : (\emptyset \leftarrow \emptyset \rightarrow TG_T) \rightarrow TG \) type graph embeddings and \( GTS = TR_F \) with "source consistency" as control condition, i.e. \( G_1 \stackrel{t_F}{\longrightarrow} G_n \) satisfies the control condition, if it is source consistent. Then the model transformation \( MT : VL_S \Rightarrow VL_T \) based on forward rules is given by \( MT = (VL_S, VL_T, TG_{ST}, t_S, t_T, TR_F) \)

As pointed out before, by source consistency the application of the forward rules is controlled by the source sequence, which generates the given source model. Model transformations based on forward rules are source consistent, which additionally ensures syntactical correctness as well as totality and surjectivity stated below. Moreover, functional behaviour can be characterized.

Theorem 3. Correctness Properties of Model Transformation based on Forward Rules: Let \( MT : VL_S \Rightarrow VL_T \) with \( MT = (VL_S, VL_T, TG_{ST}, t_S, t_T, TR_F) \) be a model transformation based on forward rules \( TR_F \) then

- each model transformation sequence \( (G_S, G_1 \stackrel{t_F}{\longrightarrow} G_n, G_T) \) in the sense of Def. 8 is a model transformation sequence in the sense of Def. 11 and vice versa.
- Moreover, \( MT \) is syntactically correct, total and surjective.
- \( MT \) is functional if and only if the language \( VL \) of \((\emptyset, TR)\) has the \( S-T \) projection property, i.e. for all \( G, G' \in VL \) we have \( proj_S(G) = proj_S(G') \) then \( proj_T(G) = proj_T(G') \).

Proof. By Fact 1 each model transformation sequence in the sense of Def. 8 is also one in the sense of Def. 11 and vice versa, because \( G_1 = t_F(G_S) \) is equivalent to \( G_1 \) typed over \((TG_S \leftarrow \emptyset \rightarrow \emptyset)\) and \( proj_S(G_1) = G_S \) and \( G_T = t_T(G_n) \) is equivalent to \( proj_T(G_n) = G_T \).

\( MT \) is syntactically correct, because we have for each source consistent \( G_1 = t_F(G_S) \) with \( G_1 = t_T(G_S) \) and \( G_S \in VL_S \) already \( G_T = t_T(G_n) \in VL_T \) by Fact 1 and the equivalences above.

\( MT \) is total, because for each \( G_S \in VL_S \) we have by definition \( G \in VL \) with \( proj_S(G) = G_S \). \( G \in VL \) implies \( \emptyset \stackrel{t_S}{\longrightarrow} G \) and hence, by Thm. 1 a match consistent sequence \( \emptyset \stackrel{t_T}{\longrightarrow} G \). This implies a model transformation sequence \( (G_S, G_1 \stackrel{t_F}{\longrightarrow} G_T, G_T) \) with \( proj_S(G_1) = proj_S(G) = G_S \) and hence, \( (G_T, G_T) \in MT_R \) which implies that \( MT \) is total. Similarly we find for each \( G_T \in VL_T \) triple graphs \( G \in VL \) and \( G_S = proj_S(G) \) with \( (G_S, G_T) \in MT_R \) showing that \( MT \) is surjective.

Definition 11. General Concept of Model Transformations based on graph transformation: Let \( GRAPHS \) be plain graphs \( GRAPHS \) or triple graphs \( GRAPHS \).

1. Given visual languages \( VL_S \subseteq GRAPHS \) and \( VL_T \subseteq GRAPHS \) a model transformation \( MT : VL_S \Rightarrow VL_T \) from \( VL_S \) to \( VL_T \) is given by \( MT = (VL_S, VL_T, TG_{ST}, t_S, t_T, GTS) \) where \( TG_{ST} \) is an integrated type graph with injective type graph morphisms \( (TG_S \leftarrow TG_{ST} \leftarrow TG_T) \), and \( GTS \) a graph transformation system with non-deleting rules \( R \) typed over \( TG_{ST} \) and a control condition for \( GTS \)-transformations.

2. A model transformation sequence via \( MT \), short \( MT \)-sequence, is given by \( (G_S, G_1 \Rightarrow^* G_n, G_T) \), where \( G_S \in VL_S, G_T \in VL_T \) and \( G_1 \Rightarrow^* G_n \) is a \( GTS \)-transformation satisfying the control condition of \( GTS \) with \( G_1 = t_S(G_S) \) and \( G_T = t_T(G_n) \), defined above.

3. The model transformation relation \( MT_R \subseteq VL_S \times VL_T \) defined by \( MT \) is given by: \( (G_S, G_T) \in MT_R \iff \exists MT \)-sequence \( (G_S, G_1 \Rightarrow^* G_n, G_T) \).

4. \( MT : VL_S \Rightarrow VL_T \) is called
   - syntactically correct, if for all \( GTS \)-transformations \( G_1 \Rightarrow^* G_n \) satisfying the control condition with \( G_1 = t_S(G_S) \) and \( G_S \in VL_S \) we have \( G_T = t_T(G_n) \in VL_T \)
   - functional, if \( MT_R \) is right unique
   - total, if \( MT_R \) is left total
   - surjective, if \( MT_R \) is right total

Most examples of model transformations based on plain graph transformation considered in the literature fit into this general concept. A typical example is the model transformation \( SC2PN \) from state charts to Petri nets (see Chapter 14 of [9] with restriction construction instead of deleting rules): The control condition is given by layers, where the rules with negative application conditions are applied as long as possible in one layer, and suitable termination criteria have to be satisfied, before switching to the next layer. But also model transformations based on triple rules fit into this concept as shown for forward rules in the next example. Of course this can be done in a similar way for backward rules.
Assume now that we have the S-T projection property and MT-sequences \((G_S, G_1 \xleftarrow{\tau_1} G_n, G_T)\) and \((G_S, G_1 \xleftarrow{\tau_1} G_m, G_T)\) we have to show \(G_T = G_T'.\) By source consistency we have match consistent sequences \(\emptyset \xrightarrow{\tau_1} G_1 \xrightarrow{\tau_1} G_n\) with \(\text{proj}(G_n) = \text{proj}(G_1) = G_S\) and \(\emptyset \xrightarrow{\tau_1} G_1 \xrightarrow{\tau_1} G_m\) with \(\text{proj}(G_m) = G_S.\) By Thm. 1 we have \(\emptyset \xrightarrow{\tau_1} G_n, \emptyset \xrightarrow{\tau_1} G_m\) and hence \(G_n, G_m \in \text{VL}\) with \(\text{proj}(G_n) = G_S = \text{proj}(G_m).\) This implies \(G_T = \text{proj}_T(G_n) = \text{proj}_T(G_m) = G_T'\) by S-T projection property. Similar we can show that MT being functional implies the S-T projection property. □

**Example 8. Non-functional Model Transformation**

Consider a triple transformation sequence based on the triple rules in Fig. 4. First, rule `Class2Table` is applied, generating a `Class` connected to a `Table`. Afterwards, rule `Subclass2Table` is applied, inserting a subclass of the existing `Class` node and connecting the new `Class` with the existing `Table` node. Then, an additional `Class` node is created as subclass of the existing one and connected to the `Table` node. This 3-step triple transformation can be divided into three target steps, where the `Table` node is created in the first step, and nothing happens in the second and third step, and three backward transformation steps based on the backward rules in Fig. 5, which are generated from the triple rules `Class2Table` and `Subclass2Table` according to Def. 6.

![Figure 5: Backward rules generated from the triple rules `Class2Table` and `Subclass2Table`.](image)

The first backward transformation step creates a `Class` node and connects it to the existing `Table` node. The second backward step creates a `Class` node as subclass of the first one and connects it to the `Table` node. For the third backward step, there exist two matches (see Fig. 6) leading to different graphs \(G\) and \(G'.\)

![Figure 6: Non-functional (backward) model transformation.](image)

Both transformation sequences are match-consistent and target-consistent (the dual property to source-consistent), but they are not functional since different results are possible when applying the same rule. In particular, the T-S projection property (corresponding to the S-T projection property in Thm. 3) is violated because we have \(\text{proj}_T(G) = \text{proj}_T(G')\) but \(\text{proj}_S(G) \neq \text{proj}_S(G').\)

Finally, we present the translation of model transformation \(MT\) based on forward rules into plain model transformation \(\mathcal{F}(MT)\) and show that the correctness properties of \(MT\) are preserved for \(\mathcal{F}(MT).\)

**Definition 12. Translation of Model Transformation based on Forward Rules:** Given \(MT = (VL_S, VL_T, TG, t_S, t_T, TR_F)\) as in Example 7 then the translated model transformation \(\mathcal{F}(MT)\) is a plain model transformation defined by \(\mathcal{F}(MT) = (\mathcal{F}(VL_S), \mathcal{F}(VL_T), \mathcal{F}(TG), \mathcal{F}(t_S), \mathcal{F}(t_T), \mathcal{F}(TR_F))\) where \(\mathcal{F}: \text{TripleGraphs}_{FG} \rightarrow \text{Graphs}_{(TG)}\) is the typed flattening functor (see Def. 10) and a graph transformation sequence \(\text{trafo}': G_0 \xrightarrow{\mathcal{F}(t_{\text{tr}}_1)} G_1 \xrightarrow{\mathcal{F}(t_{\text{tr}}_2)} G_n'\) satisfies the plain control condition, if \(G_0 = \mathcal{F}(G_0)\) and the uniquely created triple graph transformation \(\text{trafo} : G_0 \xrightarrow{t_{\text{tr}}_1} G_1 \xrightarrow{t_{\text{tr}}_2} G_n\) (by Thm. 2) is source consistent.

**Theorem 4. Properties of Translation** Given a model transformation \(MT = (VL_S, VL_T, TG, t_S, t_T, TR_F)\) based on forward rules \(TR_F\) of \(TR\) and \(\mathcal{F}(MT)\) the translated plain model transformation then

1. there is a bijective correspondence between MT- and \(\mathcal{F}(MT)\)-model transformation sequences,
2. \(\mathcal{F}(MT)\) is syntactically correct, total and surjective and
3. \(\mathcal{F}(MT)\) being functional is equivalent to MT being functional.

**Proof.** Given an MT-model transformation sequence \((G_S, G_1 \xrightarrow{\tau_1} G_n, G_T)\) we obtain by Def. 12 the \(\mathcal{F}(MT)\)-model transformation sequence \((\mathcal{F}(G_S), \mathcal{F}(G_1) \xrightarrow{\mathcal{F}(\tau_1)} \mathcal{F}(G_n), \mathcal{F}(G_T))\), because \(\mathcal{F}(t_{\text{tr}}_1)\) satisfies the plain control condition and \(t_{\text{tr}}_1(G_S) = G_1\) implies \(\mathcal{F}(t_{\text{tr}}_1(G_S)) = \mathcal{F}(G_1)\) and \(t_{\text{tr}}_1(G_n) = G_T\) implies \(\mathcal{F}(t_{\text{tr}}_1(G_T)) = \mathcal{F}(G_n)\) because \(\mathcal{F}\) preserves pullbacks by Fact 2. Vice versa, each \(\mathcal{F}(MT)\)-model transformation sequence creates a unique MT-model transformation sequence using again Def. 12 and the fact that \(\mathcal{F}\) creates pushouts and pullbacks by Fact 2. Injectivity of \(\mathcal{F}\) by Fact 2 implies that we have a bijective correspondence between MT- and \(\mathcal{F}(MT)\)-model transformation sequences. This implies by Thm. 3 that \(\mathcal{F}(MT)\) is syntactically correct, total and surjective and \(\mathcal{F}(MT)\) functional is equivalent to MT functional. □

### 6. DISCUSSION

In this paper we address the problem that frequently TGGs and model transformations based on forward rules are implemented using plain graphs and transformations. In order to clarify how the results from the theory of TGGs do relate to corresponding plain graph representations, a formal translation from triple graphs and transformations according to [16] to plain graphs and transformations according to [9] is presented. Based on this translation functor, we
relate properties of model transformations by forward rules to corresponding model transformations in plain graphs and show in particular that the functor preserves syntactical correctness and functional behavior of model transformations.

Our main results show that for each model transformation based on triple rules there is an equivalent model transformation based on plain rules, defined by the translation functor. Analogously, an equivalence exists for model transformation based on (target-consistent) backward rules. Hence, model transformations based on triple rules are bidirectional but not necessarily functional in one or both directions.

There are model transformations based on plain rules which are unidirectional and cannot be represented by equivalent model transformations based on triple rules.

The control criterion for forward rules is source consistency which is different from usual control criteria for plain rules, like layered graph transformation with termination criteria for rules with/without negative application conditions (NACs) [12] or graph constraints [7]. Note that NACs are not necessary for termination of forward transformations in our setting, since by source consistency the source sequence, which generates the given source model, controls the application of the forward rules.

Correctness properties are mainly given for triple case in Thm. 3 which are inherited by translated plain model transformations, but there remain the following open questions:

1. What are suitable syntactical criteria for functionality of model transformations?

2. Extending the approach to triple rules with NACs – what is the relationship between the source consistency control condition for forward rules on the one hand and NAC-consistency together with termination of model transformations on the other hand?

Furthermore, practical applications sometimes use plain graphs, which do not correspond to triple graphs according to [16], because morphisms between triple components cannot be defined accordingly. For instance edges and attributes occur in the component graph but have no connection to source and target model elements, thus morphisms of the triple graph cannot be total. These constructions are used to store information about the history and dependencies of executed integration steps, and thus they do not directly belong to the integrated model and can be stored separately. In other examples one node of the correspondence component may be connected to multiple nodes of the source resp. target component, which again does not correspond to triple graphs (e.g. [11, 13]). It remains unclear whether the theoretical results including those of [6] and [8] for triple graph transformations can be transferred to plain graph grammars, which do not directly correspond to TGGs.

7. REFERENCES


